



**Third Meeting of the Conference of the Parties to the
Framework Convention on the Protection and Sustainable
Development of the Carpathians**

UNEP/CC/COP3/DOC4
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DRAFT DECISIONS COP3

Third Meeting of the Conference of the Parties to the Framework Convention on the Protection and Sustainable Development of the Carpathians (COP3)

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DECISION COP3/1
Conservation and sustainable use of biological and landscape diversity
Article 4 of the Carpathian Convention

The Conference of the Parties

1. *Adopts* the Strategic Action Plan for the implementation of the Protocol on Conservation and Sustainable Use of Biological and Landscape Diversity;
2. *Welcomes and supports* the establishment of an ecological platform for the Carpathians, the invitation of Alpine observers to the Carpathian platform, and the participation of Carpathian observers in the Alpine Platform upon invitation;
3. *Adopts* the revised Terms of Reference of the Working Group on Conservation and Sustainable Use of Biological and Landscape Diversity;
4. *Welcomes* the approval of and pledges its support to the “BIOREGIO Carpathians” project, that aims at implementing the main provisions of the Protocol on Conservation and Sustainable Use of Biological and Landscape Diversity;
5. *Adopts* the Medium-Term Strategy of the Carpathian Network of Protected Areas;
6. *Adopts* the revised Terms of Reference of the Carpathian Network of Protected Areas Steering Committee;
7. *Welcomes* the assistance by Alparc and the ongoing cooperation with the Alpine Convention;
8. *Expresses* its gratitude to the World Wide Fund – Danube-Carpathian Programme for its cooperation in the organization of the Carpathian Network of Protected Areas Steering Committee meetings within the Protected Areas for Living Planet project and for the development of the activities related to management of protected areas in the Carpathians and the establishment of a related clearing house mechanism;
9. *Welcomes* the use of the Carpathian Protected Areas Management Effectiveness Tracking Tool as useful tool to support the implementation of the Carpathian Convention Biodiversity Protocol and Carpathian Network of Protected Areas activities and Convention Biodiversity Programme of Work on Protected Areas;
10. *Welcomes* the work and activities of the Carpathian Wetland Initiative in support of the implementation of the Biodiversity Protocol, welcomes the ongoing cooperation with the Ramsar Convention and urges Parties to take appropriate action on the effective protection and sustainable use of Carpathian wetlands.

DECISION COP3/2
Spatial Development
Article 5 of the Carpathian Convention

The Conference of the Parties

1. *Adopts* the revised Terms of Reference for the Working Group on Spatial Development;
2. *Welcomes* the results of the Consultative Workshop "Moving towards a Carpathian Strategy" held by Spatial Development Working Group on 25 January 2011 in Brussels;
3. *Considers and adopts* the Strategic Action Plan for the Carpathian Area as a strategic briefing drawing attention to the Carpathian Convention process in the context of the discussions regarding the future European Union Territorial Cooperation Funding Programme;
4. *Supports* the implementation and calls for the further development of the Strategic Action Plan for the Carpathian Area, providing tangible benefits to the Carpathian regions, as an important contribution to the European Strategy for the Danube Region, and recommends the use of the Strategic Action Plan to be considered in discussions within existing and future European Union macroregional strategies and programmes;
5. *Emphasizes* the importance of full involvement of and support to this process by all relevant stakeholders, in particular the competent national authorities, regional and local authorities as well as civil society and private sector;
6. *Invites* the European Parliament, the European Commission, the Committee of the Regions, the Congress of Local and Regional Authorities of the Council of Europe, and the Euroregions located in the Carpathians, to support the process;
7. *Appreciates* the support of the Association of Elected Representatives from Mountain Regions and of the Association of European Border Regions in the process and invites their further cooperation.

DECISION COP3/3
Sustainable and integrated water/river basin management
Article 6 of the Carpathian Convention

The Conference of the Parties

1. *Welcomes* the concluded mutual observership between the Danube River Protection Convention and the Carpathian Convention;
2. *Welcomes* the adoption of the Tisza River Basin Memorandum of Understanding by the Tisza River Basin Countries;
3. *And welcomes* the Joint Declaration between the Danube River Protection Convention and the Carpathian Convention supporting the sustainable development of the Carpathians and Tisza River Basin adopted in Uzhgorod on 11 April 2011, and encourages enhanced joint programming with International Commission for the Protection of the Danube River.

DECISION COP3/4
Sustainable agriculture, rural development and forestry
Article 7 of the Carpathian Convention

The Conference of the Parties

1. *Adopts* the revised Terms of Reference of the Working Group on Sustainable Agriculture and Rural Development and calls for the development of a Protocol on Sustainable Agriculture and Rural Development;
2. *Adopts* the revised Terms of Reference (ToRs) of the Working Group on Sustainable Forest Management;
3. *Adopts* the Protocol on Sustainable Forest Management;
4. *Welcomes* the initiative of the establishment of the Carpathian Inventory of Virgin Forests;
5. *Requests* the interim Secretariat to coordinate the preparation of a Strategic Action Plan for the implementation of the Protocol on Sustainable Forest Management, taking into account the work done under the auspices of the Working Group.

DECISION COP3/5
Sustainable Transport and Infrastructure, Industry and energy
Article 8 and 10 of the Carpathian Convention

The Conference of the Parties

1. *Adopts* the revised Terms of Reference of the Working Group on Sustainable Industry, Energy, Transport and Infrastructure, and calls for the development of a Protocol on Sustainable Industry, Energy, Transport and Infrastructure;
2. *Takes note* of the initiative to create a “Sustainable Energy Research Alliance” and requests the Secretariat to report on developments in this matter;
3. *Encourages* future cooperation activities with the United Nations Industrial Development Organization, the Food and Agriculture Organization and other relevant organizations in the field of renewable energies in the Carpathians.

DECISION COP3/6
Sustainable tourism
Article 9 of the Carpathian Convention

The Conference of the Parties

1. *Adopts* the revised Terms of Reference of the Working Group on Sustainable Tourism;
2. *Requests* the Secretariat and recommends the World Tourism Organization to continue the development of the tourism strategy with the involvement of relevant stakeholders and the support of the national tourism agencies;
3. *Welcomes* the ongoing cooperation with and assistance by the UNWTO, in particular related to the development of projects aimed at promoting the sustainable tourism in the Carpathians;
4. *Adopts* the Protocol on Sustainable Tourism;
5. *Requests* the interim Secretariat to notify the Secretariat of the Global Partnership on Sustainable Tourism of the wish of the Parties to enlist the Convention as a member of the Partnership.

DECISION COP3/7
Cultural heritage and traditional knowledge
Article 11 of the Carpathian Convention

The Conference of the Parties

1. *Recommends* the Parties to take into account the unique values of cultural heritage in relevant policies and to support the creation of the Cultural Heritage Inventory and Programme of Activities;
2. *Adopts* the revised Terms of Reference of the Working Group on Cultural Heritage.

DECISION COP3/8
Environmental assessment/information system, monitoring and early warning
Article 12 of the Carpathian Convention

The Conference of the Parties

1. *Supports* the establishment of a joint biodiversity information system for the Carpathians under the Carpathian Convention, and requests the Secretariat to coordinate this process;
2. *Welcomes* the outcomes of Forum Carpathicum 2010 and the preparation for Forum Carpathicum 2012, recognizes the Science for the Carpathians Network as an important basis for policy making in the Carpathians, and requests the Secretariat to further develop modalities for cooperation;
3. *Welcomes* the European Union Research Programme on Climate Change in the Carpathians and supports the coordination of the Carpathian Convention activities and the Science for the Carpathians as foreseen for this Programme through the Secretariat.

DECISION COP3/9
Awareness raising, education and public participation
Article 13 of the Carpathian Convention

The Conference of the Parties

1. *Welcomes* the progress by Move 4 Nature, thanks the private sector for the contribution, and invites further support to the initiative;
2. *Decides* to actively support the expansion of the Tool Kit to other countries, and supports cooperation with other partners and other mountain regions in Education for Sustainable Development, such as the Alps, the Balkans and the Caucasus;
3. *Requests* the Secretariat, in consultation with Parties, Northern Alliance for Sustainability and other stakeholders, to explore possibilities for the further development and finalization of the Public Participation Strategy.

DECISION COP3/10
Cooperation with the European Union

The Conference of the Parties

1. *Welcomes* the European Commission Communication on the EU Strategy for the Danube Region (EUSDR) and the accompanying Plan of Action, in particular its references to the Carpathian region and to the Carpathian Convention, as well as the included priority issues and flagship projects relevant for the Carpathian region. *Reiterates* the importance of the Carpathian region as the area relevant both for the Baltic and the Danube macroregional strategies and *underlines* the need to reflect this in the implementation of the EUSDR as well as in future activities related to the development of the EU macroregional approach ;
2. *Recalls* the Strategic Action Plan for the Sustainable Development of the Carpathians adopted by Decision Conference of the Parties [UNEP/CC/COP3/DOC7]
3. *Invites* the Parties to further solicit support from European Territorial Cooperation funds, SDC cohesion funds, Norwegian fund, LIFE, European Neighborhood and partnership Instrument and Instrument for pre Accession Assistance, and requests the Secretariat to ensure coordination in project development and implementation;
4. *Calls upon* Parties, supported by the Secretariat, to cooperate with European Union bodies in further promoting the “Carpathian Space” concept in the context of existing or planned European Union cooperation programmes (e.g. such as under the European Union Territorial Cooperation budget for 2014-2020);
5. *Invites* the European Union to become a Party to the Carpathian Convention and *requests* the Hungarian and forthcoming Polish EU Presidency to make efforts to promote accession of the European Union to the Carpathian Convention.

DECISION COP3/11 Cooperation with the Alpine Convention

The Conference of the Parties

1. *Welcomes* the cooperation with the Alpine Convention in the framework of the concluded Memorandum of Understanding, and requests the Secretariat to continue to strengthen the cooperation with the Alpine Convention in the fields such as institutional cooperation, the exchange of information and experience, the development and implementation of common projects, the collaboration on the implementation of the Conventions and their respective Programmes of Work, the implementation of the Convention Biodiversity Programmes of Work on Protected Areas and Mountain Biodiversity and the collaboration in the field of protected areas networks in the Alps and the Carpathians;

2. *Also welcomes* the joint approaches made by the Alpine and Carpathian Conventions to EU institutions, and encourages further cooperation to ensure the contributions of the Alpine and Carpathian Conventions in the consideration or development of macro-regional strategies and mountain-relevant policies at the European (EU) level.

DECISION COP3/12 On Cooperation with other conventions and international bodies

The Conference of the Parties

1. *Supports* the establishment and implementation of mountain-to-mountain cooperation programmes in the framework of cooperation with the Secretariat of the Convention on Biological Diversity and the Permanent Secretariat of the Alpine Convention, and requests the Secretariat to implement the required activities in cooperation with UNEP and all interested partners, and further invites donors, the Mountain Partnership Consortium and other interested partners to participate in and to contribute to the process;

2. *Welcomes and supports* further specific cooperation with International Conventions and international bodies, including the Convention on Biological Diversity, the United Nations Convention to Combat Desertification, the Ramsar Convention, the Aarhus Convention, the European Landscape Convention, the Council of Europe, the United Nations Educational, Scientific and Cultural Organization, the Food and Agriculture Organization, United Nations Development Programme, , United Nations Industrial Development Organization, , the European Space Agency, the International Commission for the Protection of the Danube, the Central European Initiative and the Carpathian EcoRegionInitiative.

DECISION COP3/13 Programme of work and budget of the Carpathian Convention

The Conference of the Parties

1. *Recalling* its decision COP2/13 on the Programme of Work and budget of the Carpathian Convention;
2. *Having considered* the report of the Carpathian Convention Implementation Committee on the implementation of the Programme of Work (UNEP/CC/COP3/DOC2);
3. *Expresses* its appreciation the Slovak Republic for providing the secondment of the staff member for the organization of COP3;
4. *Takes note* of the certified Financial Report of the United Nations Environment Programme (UNEP) - Interim Secretariat of the Carpathian Convention;
5. *Welcomes* the progress made in the implementation of the Programme of Work, in particular the results achieved by the Working Groups [...];
6. *Welcomes* the continuation of the Working Groups;
7. *Encourages* Parties to continue to finance their participation in meetings related to the implementation of the Programme of Work;
8. *Appreciates* the support provided by the “BIOREGIO Carpathians” and “ACCESS2MOUNTAIN” projects;
9. *Adopts* the Programme of Work for 2012 - 2014 of the Carpathian Convention and welcomes the list of potential projects in support of the Programme of Work contained in Annex 1,
10. *Adopts* the Budget for 2012, 2013 and 2014 for the Framework Convention on the Protection and Sustainable Development of the Carpathians, and welcomes the additional estimates (counterpart contributions) as contained in Annex 3 to this decision;
11. *Calls upon* the Parties to undertake the necessary action to promote and ensure full implementation of the Programme of Work, in consultation with and with the support of relevant institutions, international organizations and non-governmental organizations;
12. *Commends* the interim Secretariat for its role and work in promoting and servicing the implementation of the Programme of Work and the implementation of COP Decisions;
13. *Recognizes* the need and pledges to further strengthen the Secretariat given its additional tasks and responsibilities related to the implementation of the Protocol on the Protection of Biological and Landscape Diversity, the servicing of the Carpathian Network of Protected Areas Steering Committee, and the future implementation of the Protocol on Sustainable Forest Management and Protocol on Sustainable Tourism as well development of new protocols.

DECISION COP3/14
Administration and Financial management of the Framework Convention on the Protection and Sustainable Development of the Carpathians (Carpathian Convention) and its Protocols

The Conference of the Parties

1. *Noting* the entry into force of the Framework Convention on the Protection and Sustainable Development of the Carpathians (Carpathian Convention) on 4 January 2006;
2. *Recalling Article 15* of the Convention on the establishment and functions of the Convention Secretariat;
3. *Recalling* also that the Rules of Procedure and the Financial Rules adopted at its First Meeting contain provisions as to the role and functions of the Convention Secretariat;
4. *Recalling* its Statement at its Second Meeting in which it invited United Nations Environment Programme (UNEP) to develop a proposal for administering the permanent Secretariat;
5. *Highly* appreciating the successful work of the interim Secretariat in Vienna, and expressing their gratitude to United Nations Environment Programme (UNEP) for administering the interim Secretariat in an effective manner;
6. *Recalling* also Rule 1 of the Financial Rules for the Administration of the Trust Fund for the Convention, concerning the designation of a Trustee which shall establish and manage the Trust Fund;
7. *Recalling* that, in accordance with Rule 3 of the Financial Rules, the Trust Fund shall be used for funding the administration of the Convention, including the functioning of the Secretariat;
8. *Having considered* the note by the interim Secretariat on the administration and financial management of the Framework Convention on the Protection and Sustainable Development of the Carpathians;
9. *Welcoming* the willingness of the Executive Director of the United Nations Environment Program to assume responsibility for administering a Trust Fund for administering and meeting the objectives of the Convention and related Protocols, including the functioning of the Secretariat;
10. *Recalling* the decision by the Conference of the Plenipotentiaries that the Secretariat of the Carpathian Convention will be located in the Carpathian Region (Conference resolution, paragraph 4);
11. *Requests* the United Nations Environment Programme (UNEP) to continue to carry out the Secretariat functions on interim basis in Vienna until permanent arrangements are made;
12. *Agrees* to establish a Trust Fund to cover the cost of administering and meeting the objectives of the Carpathian Convention and related Protocols, including the functioning of its Secretariat and adopts the terms of reference for the administration of the Trust Fund as contained in Annex 4 to this decision;

13. *Decides* that the Trust Fund cited above will contain: ¹
- a) (Assessed) Annual contributions from the Contracting Parties,
 - b) (Additional) Voluntary contributions from the Contracting Parties,
 - c) Contributions from Governments which are not Contracting Parties, governmental, intergovernmental and non-governmental organizations and programs, and the private sector,
14. *Decides* that the Programme of Work - Budget for 2012, 2013 and 2014 for the Framework Convention on the Protection and Sustainable Development of the Carpathians as approved in decision COP3/13, para. 10 shall be used as the budget for the Trust Fund;
15. *Requests* the Executive Director of the United Nations Environment Program to assume responsibility for administering the Trust Fund, and to delegate the necessary administrative authorities to the Head of the Secretariat in line with the Rules and Regulations of the United Nations;
16. *Decides* that each Party will contribute to the Trust Fund on a yearly basis in accordance with the attached scale of assessment as in Annex 2;
17. *Appeals* to Countries and organizations in a position to do so to make voluntary contributions, in cash and in kind, towards meeting the cost of the Trust Fund and other costs related to the implementation of the Convention and its Protocols;
18. *Reiterates* its firm intention and determination to reach an early agreement on the permanent arrangements of the Carpathian Convention Secretariat including composition and permanent location of the Secretariat, and requests the Secretariat on an interim basis to facilitate the decision making on these issues.

¹ Due to on-going internal consultations on budgetary issues, Poland has a reservation to this paragraph.

Annex 1

Programme of work of the Carpathian Convention, 1 January 2012 - 31 December 2014

No	Month of start	Month of end	Title	Description of activities, components, means	Expected output / deliverables	Partners
1	01/2012	12/2014	Carpathian Convention Implementation Committee (CCIC)	2 meetings. Preparation of comprehensive information for the 4th Meeting of the Conference of the Parties to the Carpathian Convention – COP 4, organization of the meetings and stakeholders events, PR and outreach, preparing of meeting reports	Documentation on sectoral issues, integrated reports, Protocols / strategies for priority issues, meetings and reports	EURAC
2	01/2012	12/2014	Thematic Meetings /Sub Working Groups / workshops under the CCIC	<i>Background documentation, meetings of the transnational experts working group</i>	<i>Background documentation, meetings, reports, recommendations</i>	
			a. Working Group on conservation and sustainable use of biological and landscape diversity	2-3 meetings Working Group meetings. Preparation of the background documentation for the elaboration and compilation of Biodiversity Protocol Implementation Report.	Biodiversity Protocol Implementation Report elaborated and compiled, support in the development of follow-up projects in the area of biological and landscape diversity	UNEP, CERl, WWF-DCP, EURAC

<p>b. Steering Committee Carpathian Network of Protected Areas (CNPA)</p>	<p>3-4 meetings of the CNPA SC, where appropriate jointly with the Biodiversity WG</p>	<p>Organisation of CNPA conference, support in the development of projects related to the CNPA activities</p>	<p>WWF - DCP, EURAC CWI, CERI</p>
<p>c. Sustainable agriculture and rural development in mountains (SARD-M)</p>	<p>2-3 meetings Working Group meetings. Background documentation for the Protocol elaboration</p>	<p>Protocol on sustainable agriculture and rural development in mountains</p>	<p>EURAC, FAO</p>
<p>d. Working Group on Sustainable Forest Management</p>	<p>2-3 meetings Working Group meetings. Background documentation for the elaboration of a Strategic Action Plan for the implementation of the Protocol on Sustainable Forest Management and for the Carpathian Inventory on Virgin Forests</p>	<p>Strategic Action Plan for the implementation of the Protocol on Sustainable Forest Management, inputs for proposals for follow-up activities and projects with the view of implementing the provisions of the Protocol on SFM; "Carpathian Inventory on Virgin Forests", list of coordinated activities within the frame of the "International Year of Forests".</p>	<p>EURAC, Forest Europe, University of Padova</p>
<p>e. Working Group on sustainable industry, energy, transport and infrastructure</p>	<p>2-3 meetings Working Group meetings. Background documentation for development of the Draft Protocol on Sustainable Transport</p>	<p>Draft Protocol on Sustainable Transport, inputs for proposals for follow-up activities and projects</p>	<p>EURAC</p>



		g. Working Group on Sustainable tourism	1-2 meetings Working Group meetings. Background documentation for development of the strategy on sustainable tourism for the Carpathians	Strategy for the future tourism development of the Carpathians with Annexes, list of follow-up actions and proposals	UNEP, EURAC, UNWTO, CEEWEB
		h. Working Group of Spatial planning	1-2 meetings. Working Group meetings. Information-sharing and coordination of projects developed for application to European programmes	Promotion and development of a Carpathian Strategy and a Carpathian Space Programme, support in the development and implementation of transnational projects aiming at implementing the Carpathian Convention	EURAC
3	2014	4th Meeting of the Conference of the Parties to the Carpathian Convention	COP4		All partners



**Information summary of potential projects in support of the work programme “Follow-up Platform”
1 January 2012 – 31 December 2014 (Project descriptions available in separate document “Follow up Platform”**

Working Group Topics/ Other Activity Topics	Name of Project	EU Funding Program
Conservation and sustainable use of biological and landscape diversity (Article 4 of the Carpathian Convention)	Alpine-Carpathian Corridor BIOREGIO Carpathians	Cross – Border Cooperation Austria Slovakia South East Europe Central Europe Central Europe
Spatial planning (Article 5 of the Carpathian Convention)	ACUTE-Alp (planned) ALPSTAR (planned) C3-Alps (planned)	Alpine Space
Sustainable transport and infrastructure (Article 8 of the Carpathian Convention)	ACCESS2MOUNTAIN	South East Europe

Annex 2

SCALE OF ASSESSMENT OF CONTRIBUTIONS BY STATE PARTIES TO THE TRUST FUND FOR THE THREE YEARS 2012 – 2013 - 2014 FOR THE FRAMEWORK CONVENTION ON THE PROTECTION AND SUSTAINABLE DEVELOPMENT OF THE CARPATHIANS

(EURO)

The contributions by the Parties as specified below will be used for funding the administration of the Convention and its Protocols, including the functioning of its Secretariat.

State Party	Year 2012	Year 2013	Year 2014
The Czech Republic	30.000	30.000	30.000
The Republic of Hungary	30.000	30.000	30.000
The Republic of Poland	30.000	30.000	30.000
Romania	30.000	30.000	30.000
Serbia	18.500	18.500	18.500
The Slovak Republic	30.000	30.000	30.000
Ukraine ²	30.000	30.000	30.000
TOTAL	198.500	198.500	198.500

² Ukraine reserves its position

Annex 3

– Budget and Counterpart Contributions of the Trust Fund

Carpathian Convention Trust Fund - Budget		average exchange rate per UN		
		198,500 Eur	198,500 Eur	198,500 Eur
		0.734	0.734	0.734
		2012	2013	2014
10	PERSONNEL COMPONENT			
1100	Personnel			
1101	Head of Secretariat	93,000	93,000	93,000
1102	Technical Assistance Officer / Biodiversity	-	-	-
1103	Information and Communication Officer ²	-	-	-
1104	Data Base Operator			
1105	Administrative Officer ³	-	-	-
1199	Sub-total	93,000	93,000	93,000
1200	Consultants			
1201	Consultants/Individual Contractors	-		
1299	Sub-total	-	-	-
1300	Administrative Support			
1301	Programme Assistant	35,000	35,000	35,000
1321	Team Assistant			
1399	Sub-total	35,000	35,000	35,000
1600	Travel on official business			
1601	Travel on official mission	12,000	12,000	12,000
1699	Sub-total	12,000	12,000	12,000
	Component total	140,000	140,000	140,000
20	SUB-CONTRACT COMPONENT			
2100	Sub-contracts			
2101	MoU for UN family	15,000	15,000	15,000
2200	Sub-contracts (LOAs)			
2201	MoU (NGOs etc.)	20,960	20,960	20,960
2300	Sub-contracts (for commercial purposes)			
2399	Commercial subcontracts			
2999	Component total	35,960	35,960	35,960
30	TRAINING COMPONENT			
3300	Meetings/Conferences			
3301	Meetings, workshops etc.	24,000	24,000	24,000
3999	Component total	24,000	24,000	24,000
40	EQUIPMENT AND PREMISES COMPONENT			
4100	Expendable and Non Expendable Equipment			
4999	Component total	12,150	12,150	12,150
50	MISCELLANEOUS COMPONENT			
5100	Operation and maintenance of equipment			
5999	Component total	18,000	18,000	18,000
	Contingency Exchangerate gain/loss 4%	9,204	9,204	9,204
99	DIRECT COST TO TRUST FUND	239,314	239,314	239,314
	Programme Support Costs (13%)*	31,111	31,111	31,111
	GRAND TOTAL	270,425	270,425	270,425

* Needs to cover United Nations Office in Vienna (UNOV) administrative support and secretariat administrative staff

² Services currently provided by UNEP and UN Information Service in Vienna

³ Services provided by Administrative Service Center in Geneva and UNOV

Counterpart contributions to Carpathian Convention Trust Fund and projects		average exchange rate per UN		
		87,833 0.734 2012	87,833 0.734 2013	87,833 0.734 2014
10	PERSONNEL COMPONENT			
1100	Personnel			
1101	Head of Secretariat	15,000	15,000	15,000
1102	Technical Assistance Officer / Biodiversity	10,000	10,000	10,000
1103	Information and Communication Officer	-	-	-
1104	Data Base Operator			
1105	Administrative Officer	-	-	-
1199	Sub-total	25,000	25,000	25,000
1200	Consultants			
1201	Consultants/Individual Contractors			
1299	Sub-total	-	-	-
1300	Administrative Support			
1301	Programme Assistant	15,000	15,000	15,000
1321	Team Assistant			
1399	Sub-total	15,000	15,000	15,000
1600	Travel on official business			
1601	Travel on official mission	10,000	10,000	10,000
1699	Sub-total	10,000	10,000	10,000
	Component total	50,000	50,000	50,000
20	SUB-CONTRACT COMPONENT			
2100	Sub-contracts			
2101	MoU for UN family	11,750	11,750	11,750
2200	Sub-contracts (LOAs)			
2201	MoU (NGOs etc.)	22,000	22,000	22,000
2300	Sub-contracts (for commercial purposes)			
2399	Commercial subcontracts		-	
2999	Component total	33,750	33,750	33,750
30	TRAINING COMPONENT			
3299	Sub-total			
3300	Meetings/Conferences			
3301	Meetings, workshops etc.	22,000	22,000	22,000
3999	Component total	22,000	22,000	22,000
40	EQUIPMENT AND PREMISES COMPONENT			
4100	Expendable and Non-Expendable Equipment			
4999	Component total	2,500	2,500	2,500
50	MISCELLANEOUS COMPONENT			
5100	Operation and maintenance of equipment			
5999	Component total	3,500	3,500	3,500
99	DIRECT COST TO TRUST FUND	111,750	111,750	111,750
	Programme Support Costs (7%)*	7,823	7,823	7,823
	GRAND TOTAL	119,573	119,573	119,573

* Needs to cover United Nations Office in Vienna (UNOV) administrative support and secretariat administrative staff

Anticipated project income
BIOREGIO Carpathians

USD
358,990

Annex 4

Draft terms of reference for the Trust Fund for Administering and Meeting the Objectives of the Carpathian Convention and its Protocols, including the functioning of the Secretariat

1. The Trust Fund for Administering and Meeting the Objectives of the Framework Convention on the Protection and Sustainable Development of the Carpathians and related Protocols (hereinafter referred to as the Carpathian Convention and related protocols) including the functioning of the Secretariat (hereinafter referred to as the Trust Fund) is established for an initial period of three years, to provide financial support for the implementation of the Program of Work (POW) of the Convention and the functioning of the Secretariat.
2. The administration of the Trust Fund shall be entrusted to the Secretary-General of the United Nations and, at his discretion to the Executive Director of UNEP under the relevant financial rules and regulations of the United Nations as well as the general procedures governing the operations of the Fund of UNEP, taking into account the Financial Rules for the administration of the Trust Fund of the Carpathian Convention and related protocols, adopted at the first Meeting of the Conference of Parties. The Executive Director can delegate the administration of the Trust Fund to the Head of the Secretariat of the Carpathian Convention and related protocols.
3. The Trust Fund shall be used for funding the implementation of the Program of Work (POW) of the Convention and the functioning of its Secretariat; its budget will be composed of two sections to cover the expenditures under the following categories:
 - Section I: Assessed contributions for Administering and Meeting the Objectives of the Convention and related Protocols, including the functioning of the Secretariat.
 - Section II: (Additional) voluntary contributions for Administering and Meeting the Objectives of the Convention and related Protocols, including the functioning of the Secretariat.
4. The appropriation of section I shall be composed of:
 - (Assessed) Annual contributions from the Contracting Parties.
5. The appropriation of section II shall be composed of
 - Additional voluntary contributions from the Contracting Parties
 - Contributions from Governments which are not Contracting Parties, governmental, intergovernmental and non-governmental organizations and programs, and the private sector.
6. The (assessed) annual contributions from the Contracting Parties for Administering and Meeting the Objectives of the Convention and related Protocols, including the

- functioning of the Secretariat will for each of the Contracting Parties consist of a share of the budget for Section I of the Trust Fund, to be determined by the Conference of the Parties (COP); the contributions shall be due on 1 January of each calendar year.
7. Voluntary (additional) contributions for Administering and Meeting the Objectives of the Convention and related Protocols, including the functioning of the Secretariat may be pledged and made at any time. They shall be used in accordance with the terms and conditions agreed between the Head of the Secretariat and the respective contributor.
 8. The financial period shall be for three calendar years beginning 1 January of the first year and ending 31 December of the third calendar year.
 9. The budget estimates, covering the income and expenditures for the financial period to which they relate, prepared in US dollars, shall be submitted to and approved by the Conference of the Parties to the Carpathian Convention and related protocols.
 10. The estimates for each of the calendar years covered by the financial period shall be divided into sections and chapters as shown in the appendix to this terms of reference, shall be specified according to budget lines, shall include references to the program of work to which they relate, and shall be accompanied by any further information as the Executive Director of UNEP may deem useful and advisable.
 11. The Head of the Secretariat may commit resources against the Trust Fund only if such commitments are covered by contributions already received. In the event that the Executive Director anticipates that there might be a shortfall in resources over the financial period as a whole, he shall notify the Head of Secretariat, who shall adjust the budget so that expenditures are at all times fully covered by contributions received.
 12. The Executive Director of UNEP on the advice of the Head of the Secretariat may make transfers from one section/budget line to another. At the end of the first calendar year of a financial period, the Executive Director may proceed to transfer any uncommitted balance of appropriations, to the same section in the second calendar year.
 13. It is for the Conference of the Parties and UNEP to agree on an administrative support charge to be paid to UNEP³.
 14. At the end of each calendar year, the Executive Director of UNEP shall submit to the Contracting Parties, through the Head of the Secretariat, the certified accounts for

³ Position to be developed until COP 3 and UNEP services for PSC to be defined.

- that year. At the close of the financial period, he shall submit to them the audited accounts for the financial period.
15. The Trust Fund shall be subjected to external auditing, as decided by the COP and in accordance with the rules and regulations of the United Nations.
 16. The Executive Director of UNEP shall prepare a report on the budget implementation and submit it to the Contracting Parties at least 6 weeks before the date of each ordinary meeting of the COP.
 17. In the event that the COP decides to terminate the Trust Fund, a notification to that effect shall be presented to UNEP at least six months before the date of termination selected by the COP. The COP shall decide, in consultation with UNEP, on the distribution of any unspent balance after all liquidation expenses have been met.

These terms of reference shall be effective from the date of their approval by the Contracting Parties.